

Sheet 1 of 4

(Rev. 5/92) Comparable to Form PTO-1449  INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No.  86450	Serial No.  10/070,181
	Applicants Jeffrey L.C. Wright and Jaroslav A. Kralovec		
	Filing Date: 02/27/2002		Group

## U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	4,588,717	13/05/1986	David C. Mitchell			
	5,593,691	14/01/1997	Eugster et al.			

## FOREIGN PATENT DOCUMENTS



	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	0 897 970 A1	24.02.1999	EP			ABS	
	1004594	13/05/2000	EP			ABS	
	0982315	01/03/2000	EP			ABS	
	9806405	19/02/1998	PCT				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report
	Rompp Chemie Lexicon. (1995) Georg Thieme Verlag, Germany, Table 2 on page 272 (English translation provided).

Examiner 	Date Considered 8/23/04
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\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>				Docket Number (Optional) <b>86450</b>		Application Number <b>10/070,181</b>		
				Applicant(s) <b>Wright</b>				
				Filing Date <b>July 8, 2002</b>		Group Art Unit <b>1614</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)								
		Nguyen, Tu T.; "The Cholesterol-Lowering Action of Plant Stanol Esters," American Society for Nutritional Sciences, 1999						
		Stalenhoef, Anton F.H., et al.; "The effect of concentrated n-3 fatty acids versus gemfibrozil on plasma lipoproteins, low density lipoprotein heterogeneity and oxidizability in patients with hypertrygliceridemia," Atherosclerosis, Vol. 153, 2000, pgs. 129-138						
EXAMINER				DATE CONSIDERED <b>8/23/04</b>				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

Form PTO-1449 (Modified)	Atty. No. 86450	Serial No. 10/070,181
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant Jeffrey L.C. Wright and Jaroslav A. Kralovec	
	Filing Date July 8, 2002	Group 1614

# REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROPRIATE
AA	4	5	8	8	7	1	7		13/05/86	Mitchell			
AB	4	8	7	9	3	1	2		7/11/89	Kamarei et al.			
AC	5	7	7	0	7	4	9		23/06/98	Kutney et al.			
AD	5	0	5	9	6	2	2		22/10/91	Sears			
AE	6	1	4	7	2	3	6		14/11/00	Higgins, III			
AF	6	1	8	4	3	9	7		06/02/01	Roden et al.			
AG	6	5	4	4	9	7	3		08/04/03	Miettenen et al.			

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# FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
												YES	NO
AH	2	1	0	2	1	1	2	04/11/92	CA				
AI	0	0	0	4	8	8	7	03/02/00	WO				
AJ	1	0	0	4	5	9	4	31/05/00	Europe				

# OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

AK		HIGASHIDATE et al. (Journal of Chromatography (1990), 515, 295-303)
AL		MISHKEL et al. (Bailliere's Clinical Haematology, Vol. 3, No. 3, July 1990, pp 625-649)
AM		MATTSON, Fred H., et al., "Optimizing the effect of plant sterols on cholesterol absorption in man <sup>1-3</sup> ", The American Journal of Clinical Nutrition (1982) 35:697-700
AN		GOULD, R. Gordon, et al., "Absorbability of $\beta$ -Sitosterol in Humans", Metabolism, (1969) Vol. 18, No. 8, pp. 652-662.
AO		LO, Y. C., et al., "Physical and Chemical Properties of Randomly Interesterified Blends of Soybean Oil and Tallow for Use as Margarine Oils <sup>1,2</sup> ", JAOCS, (1983) Vol. 60, No. 4, pp. 815-818.
AP		SREENIVASAN, B., "Interesterification of Fats" JAOCS, (1978) Vol. 55, pp. 796-805.
AQ		CHOBANOV, D., et al., "Alterations in Glyceride Composition During Interesterification of Mixtures of Sunflower Oil with Lard and Tallow", JAOCS, (1977), Vol. 54, pp. 47-50.
AR		SAYNOR, Reginald, et al., "Changes in Blood Lipids and Fibrinogen with a Note on Safety in a Long Term Study on the Effects of n-3 Fatty Acids in Subjects Receiving Fish Oil Supplements and Followed for Seven Years", (1992) Lipids, Vol. 27, No. 7, pp. 533-538.
AS		GYLLING, Helena, et al., "Sitostanol ester margarine in dietary treatment of children with familial hypercholesterolemia" (1995) Journal of Lipid Research, Vol. 36, pp. 1807-1812.
AT		GYLLING, H., et al., "Serum cholesterol and cholesterol and lipoprotein metabolism in hypercholesterolaemic NIDDM patients before and during sitostanol ester-margarine treatment", (1994) Diabetologia 37:773-780.
AU		VANHANEN, H., "Cholesterol malabsorption caused by sitostanol ester feeding and neomycin in pravastatin-treated hypercholesterolaemic patients", (1994), Eur. J. Clin. Pharmacol., 47:169-176.
AV		DENKE, Margo A., "Lack of efficacy of low-dose sitostanol therapy as an adjunct to a cholesterol-lowering diet in men with moderate hypercholesterolemia <sup>1-3</sup> ", (1995) Am. J. Clin. Nutr. 61:392-6.
AW		TILVIS, Reijo S., "Serum plant sterols and their relation to cholesterol absorption <sup>1,2</sup> ", (1986), The American Journal of Clinical Nutrition, 43:92-97.
AX		MOGHADASI, Mohammed H., et al., "'Tall Oil"—Derived Phytosterols Reduce Atherosclerosis in ApoE-Deficient Mice", (1997), Vol. 17(1), pp. 119-126.
EXAMINER		DATE CONSIDERED 8/23/04

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